

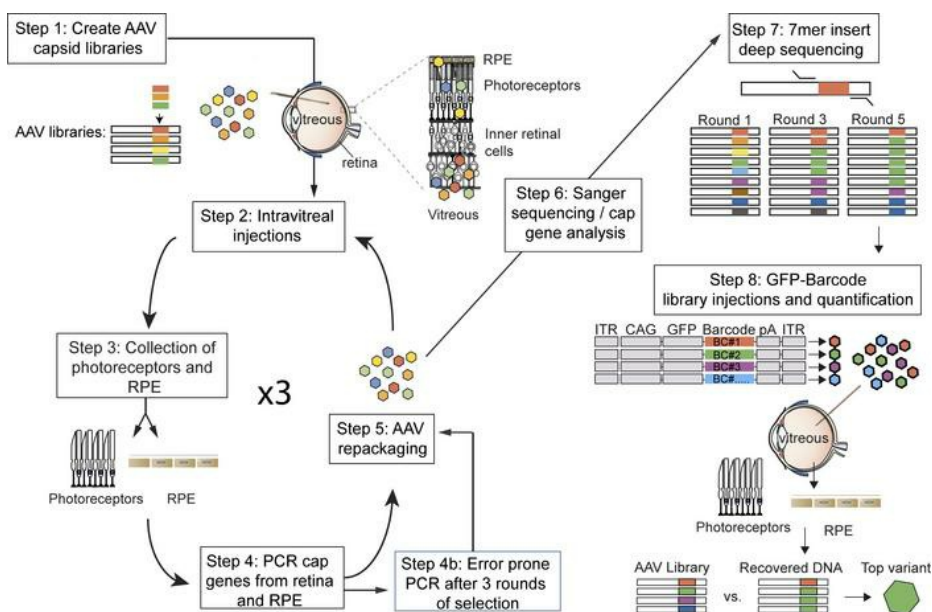
In vivo directed evolution of AAV in the primate retina

Leah C. Byrne, ... , David V. Schaffer, John G. Flannery

JCI Insight. 2020. <https://doi.org/10.1172/jci.insight.135112>.

Research In-Press Preview Ophthalmology

Graphical abstract



Find the latest version:

<https://jci.me/135112/pdf>



1 **Title: In vivo directed evolution of AAV in the primate retina**

2 **Authors:** Leah C. Byrne^{1,‡}, Timothy P. Day¹, Meike Visel¹, Cécile Fortuny¹, Deniz Dalkara²,
3 William H. Merigan³, David V. Schaffer^{1*†}, John G. Flannery^{1*†}

4 **Affiliations:**

5 ¹Helen Wills Neuroscience Institute, University of California Berkeley.

6 ²INSERM U968, Institut de la Vision, 75012 Paris, France; UMRS968, Institut de la Vision,
7 Sorbonne Universités, Pierre et Marie Curie University (UPMC) University Paris 06, Centre
8 National de la Recherche Scientifique (CNRS) UMR7210, Institut de la Vision, 75012 Paris,
9 France.

10 ³Flaum Eye Institute, University of Rochester.

11 *Correspondence should be sent to David Schaffer (278 Stanley Hall, Berkeley, CA 94720-3220,
12 tel. (510) 642-4923, schaffer@berkeley.edu) or John Flannery (132 Barker Hall, Berkeley, CA
13 94720-3190, tel. 510-642-0209, flannery@berkeley.edu).

14

15 †David Schaffer and John Flannery participated equally.

16 ‡ Current address: Departments of Ophthalmology, Neurobiology and Bioengineering, University
17 of Pittsburgh.

18 **Conflict of interest statement**

19 LCB: Inventor on patent application on AAV capsid variants (Adeno-associated virus
20 virions with variant capsid and methods of use thereof (David V. Schaffer, L.C. Byrne, Timothy
21 P. Day, John G. Flannery). Was a paid consultant for 4D Molecular Therapeutics. TPD: Inventor
22 on patent application on AAV capsid variants. MV: Inventor on patent application on AAV
23 capsid variants. DD: Patent holder on AAV vectors for retinal disease. Is a paid consultant for
24 Gensight Biologics. None. DVS: Inventor on patent applications on AAV capsid variants, co-

25 founder of 4D Molecular Therapeutics. JGF: Inventor on patent application on AAV capsid
26 variants.

27

28 **Abstract:**

29 Efficient AAV-mediated gene delivery remains a significant obstacle to effective retinal gene
30 therapies. Here, we apply directed evolution – guided by deep sequencing and followed by direct
31 in vivo secondary selection of high-performing vectors with a GFP-barcoded library – to create
32 AAV viral capsids with new capabilities to deliver genes to the outer retina in primates. A
33 replication incompetent library, produced via providing *rep* in trans, was created to mitigate risk
34 of AAV propagation. Six rounds of in vivo selection with this library in primates – involving
35 intravitreal library administration, recovery of genomes from outer retina, and extensive next
36 generation sequencing of each round – resulted in vectors with redirected tropism to the outer
37 retina and increased gene delivery efficiency to retinal cells. These new viral vectors expand the
38 toolbox of vectors available for primate retina, and may enable less invasive delivery of
39 therapeutic genes to patients, potentially offering retina-wide infection at a similar dosage to
40 vectors currently in clinical use.

41

42 **Main Text:**

43 **Introduction**

44 Inherited retinal degenerations (RDs) are caused by mutations in >200 genes(1), the
45 majority of which are expressed in photoreceptors or retinal pigment epithelium (RPE) of the
46 outer retina, leading to vision loss and decreased quality of life(2, 3). Gene therapy is a
47 promising approach to treating RDs, as evident in the recent FDA approval of a gene therapy to
48 treat inherited retinal degenerations with biallelic RPE65 mutations. While administration of a
49 therapy to the vitreous fluid of the eye offers a simple and less invasive route compared to the
50 subretinal surgery used for RPE65 and other treatments, as well as the potential to transduce the
51 entire retina, efficient gene delivery to the outer retina in general remains a significant hurdle(4-
52 6). Directed evolution has enabled the creation of new, efficient viral vectors for outer retinal
53 gene delivery in mice(7-9). However, the anatomical features and structural barriers of large
54 animal retinas are substantially different from mouse(10), as for example large animals have a
55 specialized area for high acuity vision (the area centralis in dogs or fovea in primates), a vitreous
56 of thicker consistency, and a thicker inner limiting membrane(11, 12). As a result, vectors that
57 were selected for delivery in mouse retina are not as efficient in large animal models as in
58 rodents(7).

59 Here, we used directed evolution(13), guided by insights into the population dynamics of
60 viral selections that were derived from deep sequencing, to create AAVs capable of delivering
61 genes to outer retina following intravitreal injection in cynomolgus macaques, which have eye
62 structures similar to humans. Subsequent, secondary screening of subsets of the resulting viral
63 variants revealed the most efficient AAVs for photoreceptors and RPE of the primate retina. The
64 outer retinal gene delivery offered by these variants may enable less invasive delivery of

65 therapeutic genes to the retina, and potentially provide more expansive transduction at a similar
66 dosage as that currently used in clinical trials.

67 **Results**

68 *Directed evolution of AAV in primate retina*

69 The nonhuman primate is a critical preclinical model for human therapeutic development,
70 as it has a retinal anatomy similar to that of humans. In particular, primates are the only large
71 animal model that possess a fovea, the specialized high acuity area of the retina. The fovea is
72 essential for daily activities such as reading, is critical to quality of life, and is lost in numerous
73 retinal degenerations. Here, we have conducted AAV directed evolution study in a primate
74 model. Our previous efforts in mouse were based on replication-competent AAV genome
75 libraries; however, primates are known to often harbor a co-infection of herpes B (a helper virus
76 for AAV). In order to mitigate the possibility of replication of the AAV libraries in the primate
77 retina and subsequent spread, a *rep* in trans strategy was developed in which the library *rep*
78 sequence is mutated (pRepSafeStop), while leaving intact regulatory elements, including the P40
79 promoter, which is necessary for *cap* protein expression. Stop codons in the *rep* sequence
80 prevented the expression of the four Rep proteins, and the full *rep* sequence was supplied on
81 pRepIntronHelper. This system resulted in a greater than 10-fold reduction in replication in the
82 presence of high titers of adenovirus. The ITRs in AAV are highly recombinogenic, leading to
83 the possibility of recombination and subsequent replication in the presence of a helper virus
84 when using this strategy, so an intron was inserted in the *rep* supplied in trans to prevent
85 packaging of recombined genomes (Figure 1). Replication incompetent libraries were
86 constructed, packaged, and included in the primate screen including AAV2-7mer, Ancestral-
87 7mer(14) and LoopSwap(15) libraries.

88 Libraries were injected, harvested, and repackaged for up to 5 sequential rounds of
89 selection, with one round of error prone PCR performed after round 3 (Figure 2, Supplemental
90 Table 1). AAV *cap* genes were PCR amplified from the outer nuclear layer (ONL), which was
91 isolated from transverse cryosections of retina, and in parallel from separated RPE
92 (Supplemental Figure 1).

93 Deep sequencing (source data 1) revealed that libraries contained $\sim 1 \times 10^6$ - $\sim 1 \times 10^7$
94 individual variants, which converged to $\sim 1 \times 10^4$ - $\sim 1 \times 10^5$ variants over 6 rounds of selection, a
95 diversity not possible to observe through Sanger sequencing (Figure 3A). In each of the libraries
96 analyzed, a small portion of library members were originally over-represented in the initial
97 plasmid library (Figure 3B). However, relative to this input, analysis of results from deep
98 sequencing over the rounds of selection revealed a subset of variants that increased significantly
99 in their representation during rounds of selection for each of the input libraries (Figure 3C).

100 *Secondary barcoded-GFP library screening in primate retina*

101 Twelve variants from the three successfully amplified libraries were chosen for a secondary
102 round of selection with GFP-barcoded libraries, along with parental serotypes as controls.
103 Specifically, each capsid was used individually to package a recombinant genome containing
104 GFP plus a 3' barcode unique to that capsid, the vectors were diluted until the titers of all
105 variants were equal as confirmed by qRT-PCR, and then the resulting vectors were
106 combined at equal ratios by adding equal volumes of each virus to a pool. This new library was
107 injected in both eyes of a primate, and 3 weeks after injection, biopsies were collected from
108 locations across the retina (Figure 4A). GFP expression resulting from injection of the GFP-
109 barcode libraries was found in photoreceptors, as well as some inner retinal cells (Figure 4B).
110 The outer nuclear layer and RPE were anatomically isolated, DNA was purified from these

111 samples, and deep sequencing was performed to quantify the relative extents to which each
112 capsid was capable of delivering its genome deep into the retina from the vitreous.

113 *Validation of the top-performing primate variants*

114 Quantification of vector performance in the ONL revealed that AAV2-7mer and Loop
115 swap-based variants outperformed other viruses (Table 1). The top-ranking vector, Loop Swap
116 variant AAV2 583~LQRGVRIPSVLEVNGQ, outperformed other variants in the GFP-barcode
117 screen, though it yielded lower viral titers ($\sim 5 \times 10^{11}$ vg/mL). AAV2-LALIQDSMRA (designated
118 NHP#9), the second ranking variant from the GFP-barcode screen in RPE, packaged at high
119 titers ($\sim 5 \times 10^{13}$ vg/mL) and was therefore selected for a first round of validation studies focusing
120 on ganglion cells of the inner retina and cones of the outer retina. Cone photoreceptors are
121 involved in age-related macular degeneration (ARMD), the most common cause of blindness in
122 developed countries and is predicted to affect 288 million people worldwide by the year
123 2040(16), and are therefore a primary target for retinal gene therapy. Retinal ganglion cells are a
124 target for optogenetic and glaucoma therapies (17, 18) and were also targeted for evaluation of
125 inner retinal vs outer retinal transduction.

126 NHP#9 and the previously described murine variant 7m8(7) were packaged with a
127 gamma-synuclein gene (SNCG) promoter to drive tdTomato expression in RGCs(19) and the
128 pR1.7(20) promoter to yield GFP expression in cones. Vectors encoding both these constructs
129 were mixed in equal ratios ($\sim 1.5 \times 10^{12}$ vg/construct/eye) and injected intravitreally in a
130 cynomolgus monkey. The animals were treated with daily subcutaneous injections of
131 cyclosporine (6 mg/kg) for immune suppression, beginning one week before AAV injection, and
132 adjusted based on blood trough levels to within a 150-200 ng/ml target range. Expression of
133 tdTomato in RGC's was lower in NHP#9-injected eyes compared to 7m8, which infected

134 ganglion cells across the expanse of the retina efficiently (Figure 5); however, expression in
135 foveal cones was increased relative to 7m8, indicating a shift in tropism away from the inner
136 retina towards photoreceptors in the outer retina. Changes in the efficiency of expression
137 following injection of 7m8 and NHP#9 were evaluated by two methods: the numbers of RGC's
138 and cones infected were quantified by imaging, and qRtPCR was used to quantify levels of
139 expression in these cells. Quantification of cell numbers, performed using Imaris software on
140 confocal images from the macula, revealed that 7m8 infected 10,310 RGC's, while NHP#9
141 infected 4,296. In contrast, NHP#9 infected 2202 cones, compared to 1019 cones infected by
142 7m8 (Figure 5G,H). qRT-PCR, performed using the $\Delta\Delta\text{CT}$ method, revealed an 11.71 (10.37 -
143 13.22) fold increase of GFP expression in foveal cones relative to 7m8.

144 The top-ranking variant from the GFP barcode screen, Loopswap variant ~583-
145 LQRGVRIPSVLEVNGQ (designated NHP#26), was also tested for validation despite the
146 limitation that reduced production of this vector enabled only a low dose. $\sim 5 \times 10^{10}$ particles of
147 NHP#26-scCAG-eGFP were injected intravitreally into one eye of a cynomolgus monkey.
148 Although the number of particles injected was 30-fold lower than for the other tested vectors,
149 efficient expression of GFP was observed in the fovea and in regions across the retina (Figure 6).
150 In contrast to the foveal-spot-and-ring pattern of expression that was observed with 7m8,
151 NHP#9, and other naturally occurring serotypes, imaging within the foveal region of NHP#26
152 resulted in a disc of GFP expression centered on the foveola (Figure 6A). Confocal imaging of
153 the flatmounted retina confirmed this disc pattern of expression around the fovea (Figure 6B),
154 with very few GFP positive ganglion cell axons. Punctate regions of GFP expression were often
155 strongest around retinal blood vessels (Figure 6C), and were located across the expanse of the
156 retina. Imaging of cryostat sections taken from the retina confirmed that there was little GFP

157 expression in ganglion cells, as indicated by the lack of GFP⁺ ganglion cell axons, while high
158 levels of GFP expression were found in Müller cells, additional unidentified cells that appeared
159 to have cell bodies in the inner nuclear layer, some foveal cones, and rods across the retina
160 (Figure 6D-K).

161

162 **Discussion**

163 Clinical trials using subretinal surgeries have shown the promise of AAV-mediated gene
164 therapy for retinal disease(21, 22); however, efficient delivery of therapeutic genes across the
165 outer retina – such as from an intravitreal injection – may substantially enhance the safety and
166 retinal area of treatment of gene therapies in such patients. Together, the results described here
167 show that directed evolution, guided by deep sequencing, enabled identification of novel AAV
168 viruses that were not observable by Sanger sequencing and had an enhanced ability to infect cells
169 in the outer retina of primates, an important large preclinical animal model for the development
170 of retinal therapies.

171 Deep sequencing to gain insights into selection dynamics revealed that the variants with
172 greatest fold increase during selection, rather than the most frequent final variant, is the optimal
173 metric for identifying top-performing variants. Overrepresentation of variants in the original
174 library significantly influenced the number of clones in the final round of selection, with variants
175 that were highly represented in the original library more likely to constitute a majority of clones
176 in the final round, and masking more promising variants that were less abundant at the start but
177 that had a greater fold increase over rounds of selection.

178 Also, the use of GFP-barcoded libraries enabled the selection of, from a pool of top-
179 performing candidates identified from deep sequencing, AAV variants with best transduction
180 efficiency for the targeted cells. Barcoded library screening represents a sensitive method for
181 evaluating many variants in parallel, in the same animal, allowing for direct, head-to-head
182 comparison and thus reducing animal numbers(23). Development of vectors in preclinical
183 models must continue to take into account the anatomical differences that exist between species,

184 and the possibility that optimization of a therapy in small animals may not translate into large
185 animals with anatomies more similar to humans(24).

186 AAV variant NHP#9 produced a 2-fold increase in the number of foveal cones infected,
187 and a ~12-fold fold increase of GFP expression in foveal cones relative to 7m8. A greater
188 increase in transgene expression relative to the increase in number of cells transfected may be a
189 result of greater numbers of viral particles infecting each cell, and/or a greater number of viral
190 particles successfully trafficked to the nucleus. Further experiments are ongoing to discriminate
191 between these possibilities. The variant NHP#26 transduced the outer retina at a titer of 5×10^{10}
192 vg, 2 logs lower than the dosage used in a previous study to inject a primate with 7m8(7).

193 Increasingly, it is understood that an immune response to AAV vectors reduces the transduction
194 efficiency, and influences the success of retinal and other gene therapies(25, 26). This vector
195 may enable safer gene therapies for retinal degeneration in patients as a result of the decreased
196 vector load required for transgene expression and may reduce risk of vector-related toxicity or
197 immunogenicity. Here, we employed daily dosage of an immune suppressant in primates injected
198 with GFP-encoding vectors. Temporary immune suppression is commonly used in clinical trials
199 of ocular gene therapies, but long-term or permanent immune suppression is likely not feasible.
200 Further work is required to determine an optimal regimen and the period during which immune
201 suppression may be required, or if immune suppression may be tapered off after an initial critical
202 period.

203 Additional studies into the composition of physical barriers, including the vitreous and
204 inner limiting membrane, may elucidate the physical basis for the patterns of expression seen
205 following intravitreal injection. Furthermore, directed evolution screens isolating variants from
206 specific retinal locations, such as the macula, may result in variants with increased capabilities to

207 target these areas, as results from the present screens represent an average across the retina.

208 Deeper characterization of the vectors created in this study will likely lead to additional

209 mechanistic insights into cell targeting and tropism.

210

211

212 **Methods**

213 *Construction of the pRepSafeStop directed evolution backbone*

214 The pRepSafeStop plasmid containing a *Not* I site for *cap* cloning was created by
215 Quikchange site-directed mutagenesis(SDM) on pSub2Cap2 to introduce stop codons in *rep* at
216 codons 5 and 235 using pRepSafeStop SDM primers. Unique pRepSafeStop backbones
217 containing *Asc* I and *Spe* I sites were created via Gibson Assembly in order to maintain
218 separation of libraries through rounds of selection. Libraries were PCR amplified and digested
219 with *Hind* III and *Not* I/*Asc* I/*Spe* I, then ligated into the pRepSafeStop construct.

220 *Rep intron helper cloning*

221 Intron 8 from the *nebulin* gene was amplified from genomic DNA isolated from
222 HEK293T cells using the Neb Genomic primers and cloned into a TOPO vector. To create the
223 pRepIntronHelper, the rh10 AAV helper plasmid pAAV2/rh10 was digested with *Pme* I and *Bsm*
224 I, Klenow blunting was performed, and the resulting DNA fragment was re-circularized. The
225 first AGG sequence after the Rep52/Rep40 start codon was chosen as the site for intron insertion
226 based on a computational analysis of splice signal motifs. Infusion assembly (IFA) was used to
227 insert the *nebulin* intron using the IFA primers, thereby generating the final plasmid.

228 *Adenovirus rescue to determine loss of AAV replication with the pRepSafeStop backbone*

229 HEK293T cells were infected with AAV (AAV2, an EP9 variant and a 7mer Ancestral
230 variant) at a MOI of 10^5 and then incubated at 37° C and 5% CO₂ for 48 hours. Next, 10 μL of an
231 adenovirus 5 (Ad5) stock, a volume that resulted in cytopathic effect within 24 hours, was added,
232 and the plates were incubated at 37° C and 5% CO₂ for an additional 48 hours. Cells that were

233 then harvested, pelleted, resuspended in 100 μ L of lysis buffer (0.15M NaCl, 50 mM Tris HCl,
234 0.05% Tween, pH 8.5). Freeze/thaws were used to lyse the cells, and 5 μ L of the crude lysate
235 was used for titering AAV to quantify replication.

236 *AAV selection in primate outer retina*

237 Three weeks after intravitreal injection, the primate was euthanized, and both eyes, as
238 whole globes, were briefly submerged in 4% paraformaldehyde. Superior, inferior, temporal, and
239 nasal regions of the retina were cut into four equal pieces, and the RPE was separated from each
240 section. Retinal sections were then immersed in 30% sucrose, embedded in OCT media, and
241 flash frozen. Retinal pieces were sectioned transversely at 20 μ m. During sectioning, DAPI
242 staining and light microscopy were used to identify each nuclear layer in the retina, and the inner
243 nuclear and ganglion cell layers were removed. DNA was extracted from samples using a Qiagen
244 DNeasy blood and tissue kit, according to manufacturer's instructions.

245 *AAV packaging*

246 AAV libraries were constructed prior to this study and have been previously described(7,
247 13, 27, 28). After each round of injection, capsid sequences were recovered by PCR from
248 harvested cells using primers HindIII_F1 and NotI_R1, AscI_R1, or SpeI_R1, with reverse
249 primers being specific to unique AAV backbones, in order to maintain separation of groups of
250 libraries. PCR amplicons were then digested, and recloned into the AAV pRepSafeStop
251 backbone. AAV packaging has been described previously(29). AAV vectors with pRepSafeStop
252 backbone were produced by triple transient transfection of HEK293T cells (from ATCC) with
253 the addition of the pRepIntronHelper plasmid in 5 times greater concentration than the library
254 plasmid, purified via iodixanol density centrifugation, and buffer exchanged into PBS by

255 Amicon filtration. DNase-resistant viral genomic titers were measured by quantitative real time
256 PCR using a BioRad iCycler.

257 *Deep sequencing of AAV libraries from rounds of selection*

258 A ~75-85 base pair region containing the 7mer insertion or Loop Swap mutation sites
259 (semi-random mutations at surface exposed regions, for a description of Loop Swap library
260 construction see (15)) was PCR amplified from harvested DNA. Primers included Illumina
261 adapter sequences containing unique barcodes to allow for multiplexing of amplicons from
262 multiple rounds of selection (Supplemental Table 2). PCR amplicons were purified and
263 sequenced with a 100-cycle single-read run on an Illumina HiSeq 2500. Custom Python code
264 was written for analysis. First, the DNA sequences encoding amino acid insertions, found
265 between constant linker DNA sequences were identified. Then, DNA sequences were translated
266 into amino acid sequences. The number of reads for each amino acid insertion sequence was then
267 counted, across the AAV library and across rounds of selection. Read counts were normalized by
268 the total number of reads in the run. Pandas was used to analyze dynamics of directed evolution
269 and create plots.

270 *Deep sequencing analysis*

271 Deep sequencing was performed at >10X depth of the number unique variants in the
272 round. Reads with low quality scores were eliminated from further analysis using Illumina
273 workflow. Variants were analyzed on the amino acid level (i.e. variants with varying DNA
274 sequences encoding the same amino acid sequence were pooled together for analysis). Best
275 performing variants were chosen as variants with the greatest fold increase in the final round of
276 selection relative to the initial plasmid library ($\# \text{ reads in final round, normalized to total number}$
277 $\text{of reads in the round} / \# \text{ of reads in library, normalized to total number of reads in the round}$). A

278 pseudo-count of 1 was added before normalization to each individual variant to allow analysis of
279 variants not appearing in sequencing of the plasmid library(30).

280 *GFP barcode library construction*

281 Unique 25 bp DNA barcodes were cloned behind a self-complementary AAV ITR
282 construct containing a CAG promoter driving eGFP (CAG-GFP-Barcode-pA). Individual
283 variants were packaged separately with constructs containing different barcodes. Variants were
284 then titer matched and mixed in equal ratios before injection into primates.

285 *Deep sequencing of GFP-barcode libraries*

286 Barcodes were PCR amplified directly from DNA which was harvested from primate
287 retinal tissue. Samples were collected from areas across the retina, and from ONL or RPE.
288 Primers amplified a ~50 bp region surrounding the GFP barcode and contained Illumina adapter
289 sequences and secondary barcodes to allow for multiplexing of multiple samples (Supplemental
290 Table 2). PCR amplicons were purified and sequenced with a 100-cycle single-read run on a
291 MiSeq. Read counts were normalized by total number of reads in the run. Analysis of barcode
292 abundance was performed using custom code written in Python, followed by creation of plots in
293 Pandas. Barcodes were identified as variable DNA sequences found between constant sequences
294 in the expression cassette, which surrounded the barcode. Best performing variants were selected
295 based on the fold increase in the percent of total library, relative to the injected library (% of total
296 in recovered sample / % of total in injected library). Analysis was performed on n=1 primate.

297 *Gene expression analysis*

298 GFP expression was calculated relative to GAPDH, using the $\Delta\Delta\text{CT}$ method on RNA
299 collected from retinal sections and extracted using AllPrep DNA/RNA FFPE Kit (Qiagen). Tests
300 were performed in triplicate with technical replicates from the same eye.

301 *Primers*

302 Primer sequences are listed in Supplemental Table 2.

303 *Animal studies*

304 Mice: C57BL/6 mice from Jackson Laboratories were used for mouse experiments.
305 Surgery was performed under anesthesia, and all efforts were made to minimize suffering.

306 Primates: Cynomolgous monkeys (Valley Biosystems) between 4-10 years old were used
307 for all studies, and intravitreal injections were made with methods described previously(6). In
308 order to prevent any immune response to GFP or viral vectors, which have been previously
309 reported(7), the monkey used for fluorophore expression received daily subcutaneous injections
310 of cyclosporine at a dose of 6 mg/kg for immune suppression, beginning one week before AAV
311 injection, and adjusted based on blood trough levels to within a 150-200 ng/ml target range. All
312 primates were screened for neutralizing antibodies prior to inclusion in the study and had titers
313 $<1:25$. Confocal scanning laser ophthalmoscopic images (Spectralis HRA, Heidelberg
314 Engineering) were obtained 3 weeks after injection, with autofluorescence settings, which lead to
315 effective tdTomato and GFP visualization. For histology, the monkey was euthanized, both
316 retinas were lightly fixed in 4% paraformaldehyde, and tissue was examined by confocal
317 microscopy. At the conclusion of the experiment, euthanasia was achieved by administering an
318 IV overdose of sodium pentobarbital (75 mg kg^{-1}), as recommended by the Panel on Euthanasia
319 of the American Veterinary Medical Association. Pieces of primate retina were then prepared in

320 30% sucrose, embedded in OCT media, flash frozen, and sectioned at 20 μ m for confocal
321 microscopy imaging of native fluorophore expression. Antibodies for labeling were: anti-GFP
322 (A11122, Thermo, 1:250) anti-vimentin (Dako, 1:1000), peanut agglutinin (PNA, Molecular
323 Probes, 1:200), anti-PKC-alpha (ab32376, Abca, 1:1000) and anti-cone arrestin (7G6, gift from
324 Peter MacLeish, Morehouse School of Medicine, Atlanta, Georgia, 1:50). A summary of minor
325 adverse events related to the procedures is summarized in Supplemental Table 1.

326 **Study Approval:**

327 Mice: All procedures were performed in accordance with the ARVO statement for the Use of
328 Animals in Ophthalmic and Vision Research, and were approved by the University of California
329 Animal Care and Use Committee AUP# R200-0913BC. Primates: The procedures were
330 conducted according to the ARVO Statement for the Use of Animals and the guidelines and
331 under approval from of the Office of Laboratory Animal Care at the University of Rochester.

332 **Author contributions:** LCB: Conceived, planned and executed experiments. Analyzed data.
333 Wrote the manuscript. TPD: Conceived, planned and executed primate experiments. Analyzed
334 data. Wrote the manuscript. MV: Planned experiments, performed AAV packaging, analyzed
335 data. Wrote the manuscript. DD: Performed directed evolution screening in macaque retina,
336 provided AAV constructs with PR1.7 / SNCG promoters and wrote the manuscript. WHM:
337 Supervised intravitreal injection and fluorescent fundus imaging of viral vectors in macaques.
338 Wrote the manuscript. DVS: Conceived, planned and supervised project. Wrote the manuscript.
339 JGF: Conceived, planned and supervised project. Wrote the manuscript.

340 **Acknowledgments:** Deep sequencing was performed at the UC Berkeley Vincent J. Coates
341 sequencing facility. Confocal imaging was performed at the Berkeley Biological Imaging
342 Facility. Injections for AAV selection in primates were performed at Valley Biosystems. We

343 thank Yvonne Lin, and Jaskiran Mann for technical assistance. **Funding:** Funding was provided
344 by the Ford Foundation, NEI/NIH (F32EY023891, PN2EY01824), 4D Molecular Therapeutics
345 and Foundation Fighting Blindness. Data and materials availability: Source data 1. Raw counts
346 from deep sequencing datasets are available on Dash, the University of California data sharing
347 service: Byrne, Leah et al. (2018), Directed Evolution of AAV for Efficient Gene Delivery to
348 Canine and Primate Retina - Raw counts of variants from deep sequencing, UC Berkeley Dash,
349 Dataset, <https://doi.org/10.6078/D1895R>.

350

351

352 **References**

353

354 1. RetNet - Retinal Information Network. <https://sph.uth.edu/retnet>. Accessed January 20,
355 2020.

356 2. Wright AF, Chakarova CF, Abd El-Aziz MM, and Bhattacharya SS. Photoreceptor
357 degeneration: genetic and mechanistic dissection of a complex trait. *Nat Rev Genet*.
358 2010;11(4):273-84.

359 3. Boye SE, Boye SL, Lewin AS, and Hauswirth WW. A comprehensive review of retinal
360 gene therapy. *Mol Ther*. 2013;21(3):509-19.

361 4. Cepko CL. Emerging gene therapies for retinal degenerations. *J Neurosci*.
362 2012;32(19):6415-20.

363 5. Dalkara D, Kolstad KD, Caporale N, Visel M, Klimczak RR, Schaffer DV, et al. Inner
364 limiting membrane barriers to AAV-mediated retinal transduction from the vitreous. *Mol*
365 *Ther*. 2009;17(12):2096-102.

366 6. Yin L, Greenberg K, Hunter JJ, Dalkara D, Kolstad KD, Masella BD, et al. Intravitreal
367 injection of AAV2 transduces macaque inner retina. *Invest Ophthalmol Vis Sci*.
368 2011;52(5):2775-83.

369 7. Dalkara D, Byrne LC, Klimczak RR, Visel M, Yin L, Merigan WH, et al. In vivo-
370 directed evolution of a new adeno-associated virus for therapeutic outer retinal gene
371 delivery from the vitreous. *Sci Transl Med*. 2013;5(189):189ra76.

372 8. Kotterman MA, and Schaffer DV. Engineering adeno-associated viruses for clinical gene
373 therapy. *Nat Rev Genet*. 2014;15(7):445-51.

374 9. Klimczak RR, Koerber JT, Dalkara D, Flannery JG, and Schaffer DV. A novel adeno-
375 associated viral variant for efficient and selective intravitreal transduction of rat Muller
376 cells. *PLoS One*. 2009;4(10):e7467.

377 10. Matsumoto B, Blanks JC, and Ryan SJ. Topographic variations in the rabbit and primate
378 internal limiting membrane. *Invest Ophthalmol Vis Sci*. 1984;25(1):71-82.

- 379 11. Heegaard S, Jensen OA, and Prause JU. Structure and composition of the inner limiting
380 membrane of the retina. SEM on frozen resin-cracked and enzyme-digested retinas of
381 *Macaca mulatta*. *Graefes Arch Clin Exp Ophthalmol*. 1986;224(4):355-60.
- 382 12. Beltran WA, Cideciyan AV, Guziewicz KE, Iwabe S, Swider M, Scott EM, et al. Canine
383 retina has a primate fovea-like bouquet of cone photoreceptors which is affected by
384 inherited macular degenerations. *PLoS One*. 2014;9(3):e90390.
- 385 13. Maheshri N, Koerber JT, Kaspar BK, and Schaffer DV. Directed evolution of adeno-
386 associated virus yields enhanced gene delivery vectors. *Nat Biotechnol*. 2006;24(2):198-
387 204.
- 388 14. Santiago-Ortiz J, Ojala DS, Westesson O, Weinstein JR, Wong SY, Steinsapir A, et al.
389 AAV ancestral reconstruction library enables selection of broadly infectious viral
390 variants. *Gene Therapy*. 2015;22(12):934-46.
- 391 15. Koerber JT, Klimczak R, Jang JH, Dalkara D, Flannery JG, and Schaffer DV. Molecular
392 evolution of adeno-associated virus for enhanced glial gene delivery. *Mol Ther*.
393 2009;17(12):2088-95.
- 394 16. Wong WL, Su X, Li X, Cheung CM, Klein R, Cheng CY, et al. Global prevalence of age-
395 related macular degeneration and disease burden projection for 2020 and 2040: a
396 systematic review and meta-analysis. *Lancet Glob Health*. 2014;2(2):e106-16.
- 397 17. Scholl HP, Strauss RW, Singh MS, Dalkara D, Roska B, Picaud S, et al. Emerging
398 therapies for inherited retinal degeneration. *Sci Transl Med*. 2016;8(368):368rv6.
- 399 18. Berry MH, Holt A, Salari A, Veit J, Visel M, Levitz J, et al. Restoration of high-
400 sensitivity and adapting vision with a cone opsin. *Nat Commun*. 2019;10(1):1221.
- 401 19. Chaffiol A, Caplette R, Jaillard C, Brazhnikova E, Desrosiers M, Dubus E, et al. A New
402 Promoter Allows Optogenetic Vision Restoration with Enhanced Sensitivity in Macaque
403 Retina. *Mol Ther*. 2017;25(11):2546-60.
- 404 20. Ye GJ, Budzynski E, Sonnentag P, Nork TM, Miller PE, Sharma AK, et al. Safety and
405 Biodistribution Evaluation in Cynomolgus Macaques of rAAV2tYF-PR1.7-hCNGB3, a
406 Recombinant AAV Vector for Treatment of Achromatopsia. *Hum Gene Ther Clin Dev*.
407 2016;27(1):37-48.
- 408 21. Jacobson SG, Cideciyan AV, Roman AJ, Sumaroka A, Schwartz SB, Heon E, et al.
409 Improvement and decline in vision with gene therapy in childhood blindness. *N Engl J*
410 *Med*. 2015;372(20):1920-6.
- 411 22. Cideciyan AV, Jacobson SG, Beltran WA, Sumaroka A, Swider M, Iwabe S, et al.
412 Human retinal gene therapy for Leber congenital amaurosis shows advancing retinal
413 degeneration despite enduring visual improvement. *Proc Natl Acad Sci U S A*.
414 2013;110(6):E517-25.
- 415 23. Adachi K, Enoki T, Kawano Y, Veraz M, and Nakai H. Drawing a high-resolution
416 functional map of adeno-associated virus capsid by massively parallel sequencing. *Nat*
417 *Commun*. 2014;5:3075.
- 418 24. Hordeaux J, Wang Q, Katz N, Buza EL, Bell P, and Wilson JM. The Neurotropic
419 Properties of AAV-PHP.B Are Limited to C57BL/6J Mice. *Mol Ther*. 2018;26(3):664-8.
- 420 25. Reichel FF, Dauletbekov DL, Klein R, Peters T, Ochakovski GA, Seitz IP, et al. AAV8
421 Can Induce Innate and Adaptive Immune Response in the Primate Eye. *Mol Ther*.
422 2017;25(12):2648-60.

423 26. Bainbridge JW, Mehat MS, Sundaram V, Robbie SJ, Barker SE, Ripamonti C, et al.
424 Long-term effect of gene therapy on Leber's congenital amaurosis. *N Engl J Med*.
425 2015;372(20):1887-97.

426 27. Koerber JT, Jang JH, and Schaffer DV. DNA shuffling of adeno-associated virus yields
427 functionally diverse viral progeny. *Mol Ther*. 2008;16(10):1703-9.

428 28. Koerber JT, Maheshri N, Kaspar BK, and Schaffer DV. Construction of diverse adeno-
429 associated viral libraries for directed evolution of enhanced gene delivery vehicles. *Nat*
430 *Protoc*. 2006;1(2):701-6.

431 29. Grieger JC, Choi VW, and Samulski RJ. Production and characterization of adeno-
432 associated viral vectors. *Nat Protoc*. 2006;1(3):1412-28.

433 30. Fowler DM, Stephany JJ, and Fields S. Measuring the activity of protein variants on a
434 large scale using deep mutational scanning. *Nat Protoc*. 2014;9(9):2267-84.

435

436

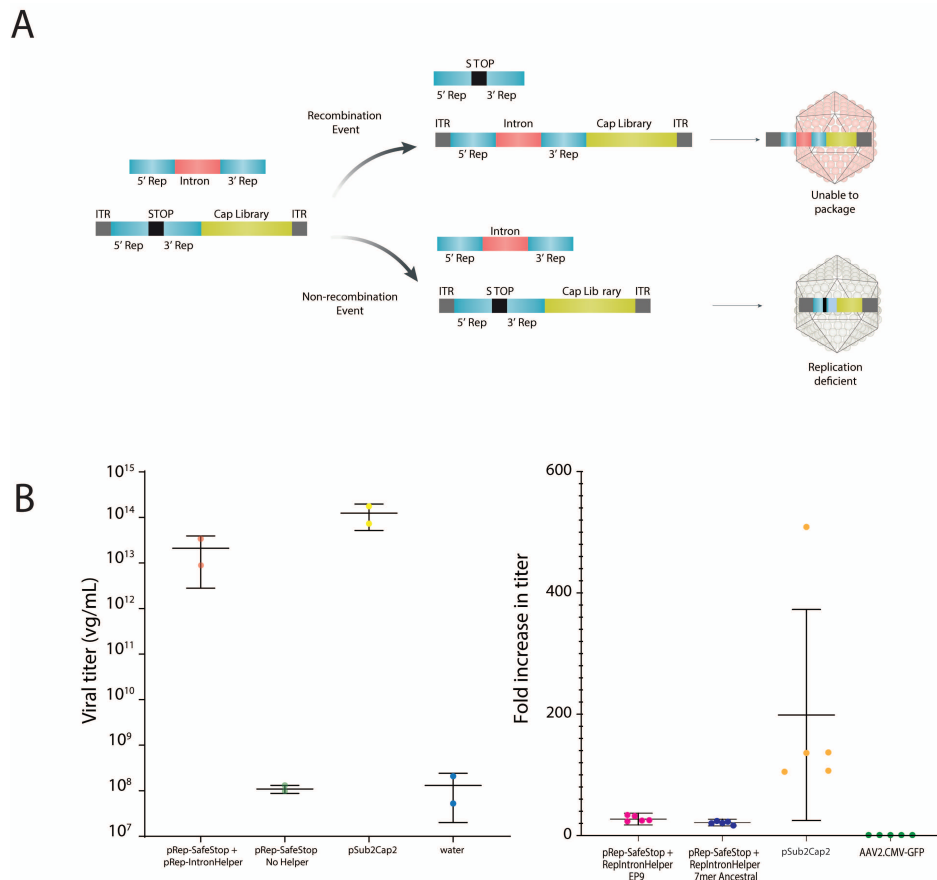
437

438

439

440

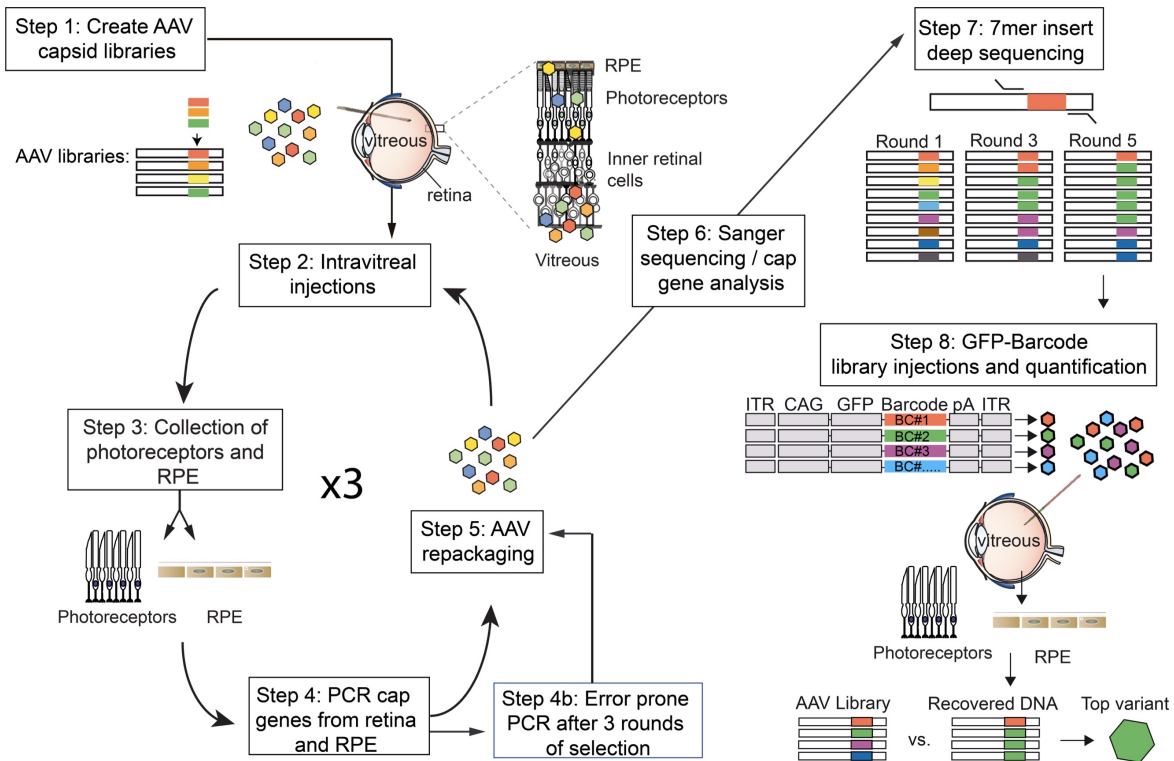
441 **Figures**



442

443 **Figure 1. Replication incompetent AAV libraries.** (A) Schematic depicting both
444 recombination and non-recombination events with the pRepIntronHelper. If a recombination
445 event were to occur, the intron sequence (*nebulin* intron 8, 774 bp) would push the transgene
446 over the packaging capacity of AAV, leading to incomplete packaging. If recombination does
447 not occur, the mutated *rep* sequence will be packaged, mitigating the possibility of replication.
448 (B) Titering of the *rep* in trans system with and without pRepIntronHelper, in comparison to the
449 transgene with native *rep*, pSub2Cap2. The *rep* in trans system leads to similar titers as normal
450 pSub2Cap2 packaging. (C) An adenovirus rescue study determined that the *rep* in trans system
451 leads to greater than 10-fold reduction in replication. The abilities of an AAV9 error prone
452 library and the 7mer-Ancestral library to replicate with the *rep* in trans system are shown,
453 compared to an AAV2 with the wild-type genome and a replication incompetent AAV2 with a
454 CMV-GFP transgene.

455

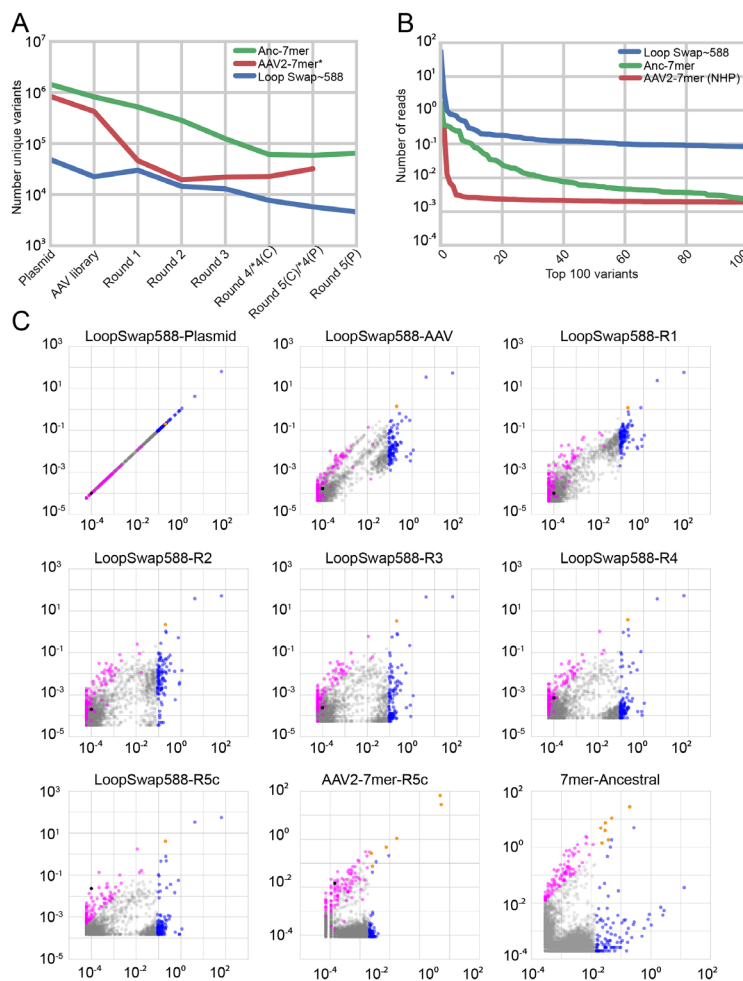


456

457 **Figure 2. Workflow of directed evolution of AAV in the primate retina.** Highly diverse
 458 ($\sim 1 \times 10^7$) libraries of AAV variants were packaged such that each virus contained a genome
 459 encoding its own capsid. Libraries were pooled and injected intravitreally in primates. After
 460 AAV infection had occurred, retinal tissue and RPE cells were collected, and *cap* gene variants
 461 were PCR amplified, recloned, and repackaged for the subsequent round of injection. Five
 462 rounds of selection were performed, and error prone PCR was performed after the third round to
 463 introduce additional diversity into the library. Following the selections, each pool was subjected
 464 to deep sequencing to analyze the dynamics of each individual variant and overall convergence
 465 of the library. Based on their increase in representation relative to the original library, individual
 466 variant capsids were chosen and used to package a scCAG-eGFP genome also containing a
 467 unique DNA barcode sequence. These barcoded vectors were then pooled in equal amounts and
 468 injected intravitreally. Retinal cells (photoreceptors or RPE cells) were harvested, GFP barcodes
 469 were PCR amplified from the collected tissue, and deep sequencing was used to quantify the
 470 relative abundance of barcodes. The top-performing variants were evident as those with the
 471 greatest fold increase of barcodes recovered from collected tissue relative to the injected library.

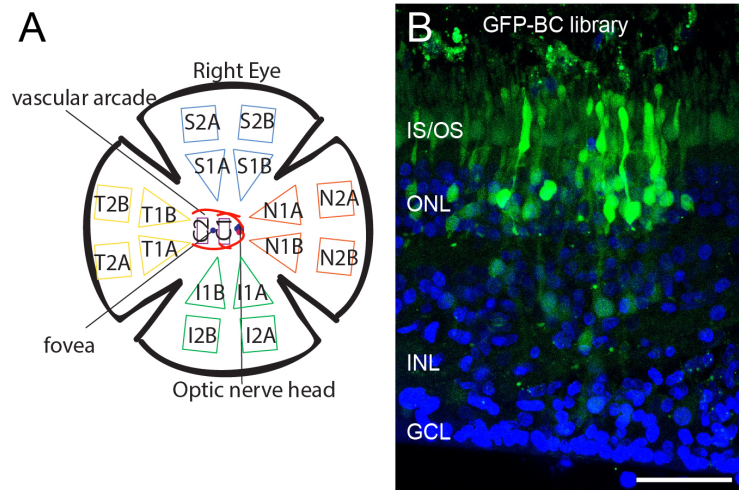
472

474 **Figure 3. Directed evolution of AAV in primate retina. (A)** Deep sequencing of libraries



revealed convergence of variants over rounds of selection. **(B)** In each of the libraries evaluated, a small proportion of variants were overrepresented in the plasmid library. **(C)** Scatterplots illustrate the behavior of individual variants over all rounds of selection for the ~588 LoopSwap library for all rounds of selection, and at the final round of selection for AAV2-7mer and 7mer-Ancestral libraries. Additional scatter plots are shown in Supplementary Figure 2. Black dots in the LoopSwap plots indicate variant NHP#26, validated in Figure 6. The black dot in the AAV2-7mer plot indicates variant NHP#9, validated in

493 Figure 5. A pseudo-count of 1 was added to each variant prior to plotting. X-axis is the percent
 494 of the library made up by each variant in the original library. Y-axis is the percent of total library
 495 at the indicated round of selection. As variants increase in representation they rise on the Y-axis.
 496 Variants overrepresented in the original library are colored blue. Variants that had the greatest
 497 fold increase in representation in the final round of selection are shown in magenta. Variants that
 498 were overrepresented in the original library and increased significantly in representation over
 499 rounds of selection are colored orange. From the last round of selection, sequencing was
 500 performed on samples from central (R5C, Supplemental Figure 2) and peripheral (R5P) samples
 501 separately.



505

506 **Figure 4. Directed evolution of AAV in primate retina.** (A) A map of the primate retina shows
 507 the distribution of samples that were collected for rounds of selection and the GFP-barcode
 508 library. (B) GFP expression (shown here from retina along the superior vascular arcade) resulting
 509 from the barcoded library revealed that expression was shifted to an outer retinal tropism in
 510 selected variants. Scale bar is 50 μ m.

511

512

513

514

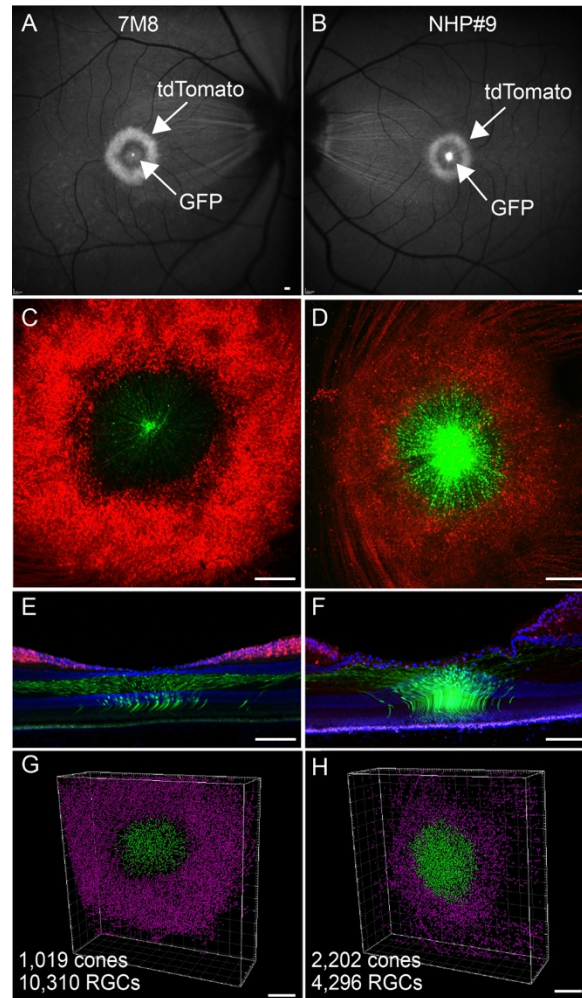
515

516

517

518

519



520

521 **Figure 5. Validation of NHP#9 in primate retina.** (A-H) Co-injection of $\sim 1.5 \times 10^{12}$ particles of
 522 SNCG-tdTomato and $\sim 1.5 \times 10^{12}$ pR1.7-eGFP packaged in 7m8 and variant NHP#9 in primate
 523 retina. Intravitreal injection of 7m8 (A,C,E) resulted in robust tdTomato expression in ganglion
 524 cells and expression of GFP in foveal cones. In contrast, injection of equal number of particles of
 525 NHP#9 in the contralateral eye resulted in reduced ganglion cell expression, and increased GFP
 526 expression in cones relative to 7m8 (B,D,F). (G,H) Quantification of ganglion cells and cones
 527 transduced with 7m8 and NHP#9 in primate retina. Counting of labeled cells, performed using
 528 Imaris software, revealed a substantial decrease in numbers of transduced ganglion cells and an
 529 increase in the number of cones targeted with NHP#9, compared to 7m8. Scale bars in A,B: 200
 530 μm , C-F: 100 μm , G,H: 200 μm .

531

532

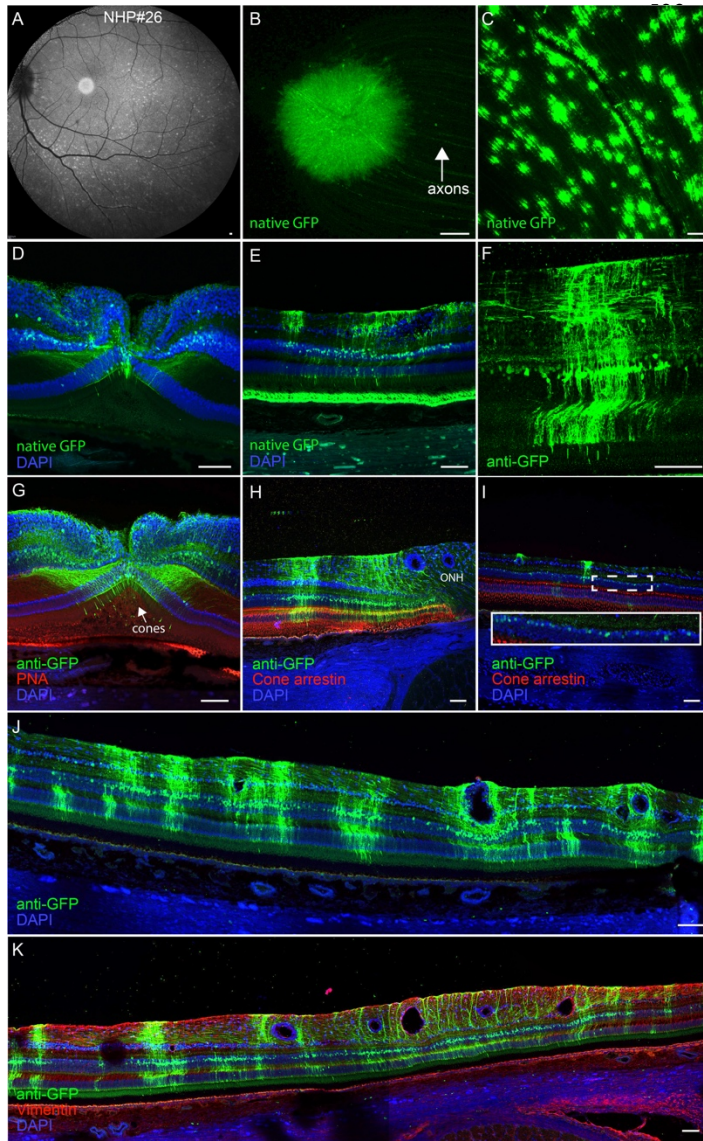


Figure 6. Validation of NHP#26 in primate retina. (A) Fundus imaging in a primate eye following injection of 5×10^{10} particles of NHP#26-scCAG-GFP revealed a disc of GFP expression centered on the fovea, and a punctate pattern of GFP expression across the retina. (B) Confocal imaging of native GFP expression in the flatmounted fovea. (C) Confocal imaging of native GFP expression in the area outside of the vascular arcade. (D) Confocal imaging of native GFP expression in a cryostat section through the fovea. (E) Native GFP expression in the inferior retina, outside the vascular arcade, shows little GFP expression in ganglion cells, but high levels of expression in Müller cells and some photoreceptors in the outer retina. Autofluorescence was also observed in RPE. (F) Anti-GFP

554 labeling in a cryostat section revealed GFP expression in photoreceptors, evident by their outer
 555 segments, Müller cells, evident by their retina-spanning processes, as well as cells in the inner
 556 nuclear layer with horizontal processes that are likely interneurons. (G) Anti-GFP labeling in a
 557 foveal section reveals additional infected cones, Müller glia, and interneurons. (H) Co-labeling
 558 with anti-cone arrestin and anti-GFP antibodies reveals GFP expression in rod photoreceptors, as
 559 well as cells in the inner nuclear layer, in a section taken next to the optic nerve head. (I) Co-
 560 labeling with anti-cone arrestin and anti-GFP antibodies in an area of low expression reveals
 561 GFP expression in inner nuclear layer cells. (J,K) Montages of confocal images from cryostat
 562 sections collected outside the vascular arcade show efficient expression of GFP in the inner
 563 nuclear layer and outer retina. Scale bars in A,B: 200 μm , C-K: 100 μm .

564 **Tables:**

565

ONL			RPE		
Insert sequence	Source library	Value	Insert sequence	Source library	Value
LQRGVRIPSVLEVNGQ	LS588 Central	61.2	LQRGVRIPSVLEVNGQ	LS588 Central	33.66
LQKADRQPGVVVNCQ	LS588 Peripheral	2.75	LALIQDSMRA	AAV2-7mer Central	4.63
LQKNARPASTESVNFQ	LS588 Central	2.75	LTHQDTTKNA	AAV2-7mer Central	4.12
AAV24YF+	control	1.69	QAHQDTTKNA	AAV2-7mer Peripheral	3.42
AAV2	control	1.61	LANQEHVKNA	AAV2-7mer Peripheral	2.25
LQRGNRPVTTADVNTQ	LS588 Peripheral	1.29	NGAVADYTRGLSPATGT	Anc-7mer Peripheral	1.55
QAHQDTTKNA	AAV2-7mer Peripheral	0.77	TGLDATRDHGLSPVTGT	Anc-7mer Central	1.04
TGLDATRDHGLSPVTGT	Anc-7mer Central	0.71	LQKADRQPGVVVNCQ	LS588 Peripheral	0.98
NGAVADYTRGLSPATGT	Anc-7mer Peripheral	0.52	LQRGNRPVTTADVNTQ	LS588 Peripheral	0.69
TGGDPTRGTGLSPVTGA	Anc-7mer Peripheral	0.37	AAV24YF+	control	0.57
TGSDGTRDHGLSPVTWT	Anc-7mer Central	0.28	AAV2	control	0.56
LALIQDSMRA	AAV2-7mer Central	0.22	LQKNARPASTESVNFQ	LS588 Central	0.52
LANQEHVKNA	AAV2-7mer Peripheral	0.20	TGGDPTRGTGLSPVTGA	Anc-7mer Peripheral	0.48
LTHQDTTKNA	AAV2-7mer Central	0.20	TGSDGTRDHGLSPVTWT	Anc-7mer Central	0.47

566

567

568 **Table 1. Rankings of variants following injection of GFP-barcoded library.** The lists of
569 variants are ordered from best (top) to worst (bottom) performing vectors, along with a
570 description of the source library and the sample the variant was identified from (central or
571 peripheral) and a value indicating the extent to which the variant competed with other vectors,
572 expressed as: % of total in recovered library/% of total in AAV library.